

RECEIVED

7 FEB 2007

Legal Staff
International DivisionDARBY &
DARBY805 Third Avenue
New York, NY 10022
Tel: (212) 527-7700
Fax: (212) 753-6237

E-MAIL:

FILE #: 20241/0203481-USO

BEST AVAILABLE COPY

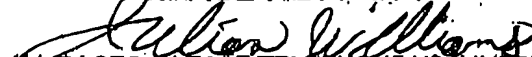
DATE: 2/7/07NUMBER TRANSMITTING TO: 571-273-0459RECIPIENT: PCT Legal DeptCOMPANY: Patent & Trademarks OfficeFROM: Flynn BarrisonNO. OF PAGES TRANSMITTING
(INCLUDING COVER SHEET): 9CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

3rd Request For Corrected Filing Receipt.
The date Filing receipt should be
10/12/2005 Not 10/13/2005

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE SO THAT WE CAN ARRANGE FOR THE RETRIEVAL OF THIS DOCUMENT AT NO COST TO YOU. THANK YOU.

PLEASE RETURN TO



* IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (212) 527-7774

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Express Mail, Airbill No.

I hereby certify that on the date indicated below, this paper was deposited with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by 'Express Mail Post Office to Addressee' service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Date

Name

Signature

Customer No.: 07278

Docket No.: 20241/0203481-US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nobuo Mochizuki et. al.

Serial No.: 10/553,108

Filed: October 13, 2005

For: PHENYLAZOLE COMPOUND, PRODUCTION PROCESS THEREFOR AND
ANTIOXIDANT

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:



incorrectly entered

and/or



omitted.

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input type="checkbox"/> Title	
<input checked="" type="checkbox"/> Filing Date	10/12/2005
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

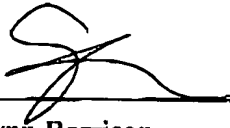
Remarks:

Enclosed please find a copy of the Express Mail Label Return Receipt postcard and Express Mail certificate.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: November 2, 2006



Flynn Barrison
Reg. No. 53,970
Agent for Applicant(s)

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
212-527-7700



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22312-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,108	10/13/2005 12	1614	900	20241/0203481-USO		13	3

7278
 DARBY & DARBY P.C.
 P. O. BOX 5257
 NEW YORK, NY 10150-5257

CONFIRMATION NO. 8647

CORRECTED FILING RECEIPT



OC000000020085797

Date Mailed: 08/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nobuo Mochizuki, Odawara-shi, JAPAN;
 Nobuhiro Umeda, Odawara-shi, JAPAN;
 Seiichi Uchida, Naka-gun, JAPAN;
 Seiichi Ikeyama, Yokohama-shi, JAPAN;
 Shiro Tsubokura, Odawara-shi, JAPAN;
 Mitsumasa Takada, Naka-gun, JAPAN;

Assignment For Published Patent Application

Nippon Soda Co., Ltd., Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 7278.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05237 04/13/2004

Foreign Applications

JAPAN 2003-109667 04/14/2003

JAPAN 2004-023032 01/30/2004

If Required, Foreign Filing License Granted: 05/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,108**

Projected Publication Date: 08/24/2006

Non-Publication Request: No

Early Publication Request: No

Title

Phenylazole compound, production process therefor and antioxidant

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your Intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Atty Docket No.: 20241/0203481-US0

Inventor: Nobuo Mochizuki et al.

Appln: Not Yet Assigned Filed: 10/553108

Title: PHENYLAZOLE COMPOUND, PRODUCTION
PROCESS THEREFOR AND ANTIOXIDANT

Documents: JC03 Rec'd PCT/PTO 13 OCT 2005

Certificate of Express Mailing (1 pg); PTO 1390 (3 pp)

ADS (4 pp); 2 copies of English translation of Int'l Appl.

(72 pp); Claims (16 pp); Abstract (1 pg)

2nd copy of published int'l appl. (43 pp); First Preliminary

Amendment (18 pp); Executed DEC/POA (5 pp)

Recordation Form Cover Sheet (2 pp) w/Assignment (3 pp)

IDS (2 pp); PTO/SB/08A (2 pp) w/20 documents

Affirmation of priority claim (1 pg) w/PCT/IB/304;

Check No. 9928 in the amount of \$940.00

Return Receipt Postcard

Via: Express Mail: Airbill No. EV6 9213 1814 - US

Sender Initials: CTM/mch Date: October 12, 2005

DBP



Customer Copy
Label 114, April 2004

UNITED STATES POSTAL SERVICE® Post Office To Addressee



EV 69213114 US

ORIGIN (POSTAL SERVICE USE ONLY)		DELIVERY (POSTAL SERVICE USE ONLY)	
PO ZIP Code 10022-2	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th <input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th <input type="checkbox"/> 26th <input type="checkbox"/> 27th <input type="checkbox"/> 28th <input type="checkbox"/> 29th <input type="checkbox"/> 30th <input type="checkbox"/> 31st	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Date Accepted 10-12-05	Scheduled Date of Delivery 10-12-05	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Time Accepted 8:38 AM	Scheduled Time of Delivery 10:00 AM	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Rate 15.15	Postage \$ 47.65	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Weight 8.38 lbs	Return Receipt Fee \$ 47.65	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Insurance \$ 47.65	Insurance Fee \$ 47.65	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Signature 15-15-8	Total Postage & Fees \$ 47.65	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
Signature 15-15-8	Acceptance Emp. Initials 15-15-8	Delivery Attempt Time Mo. Day Mo. Day Mo. Day	Employment Time Mo. Day Mo. Day Mo. Day
FROM: PLEASE PRINT DARBY & DARBY PC 305 3RD AVE FL 26 NEW YORK NY 10022-7537		TO: PLEASE PRINT MAIL STOP COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450	

FOR PICKUP OR TRACKING: Visit www.usps.com or Call 1-800-222-1811

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 20241/0203481-US0

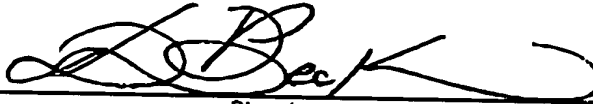
Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as
Express Mail, Airbill No. In an envelope addressed to:

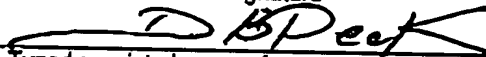
EV 692131814 - US

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on October 12, 2005
Date



Signature



Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

PTO 1390 (3 pp)
Application Data Sheet (4 pp)
2 copies of English language translation of the International application
(72 pp); Claims (16 pp); Abstract (1 pg)
Second copy of published International Application (43 pp)
First Preliminary Amendment (18 pp)
Oath or declaration of the inventor(s) (5 pp)
Recordation Form Cover Sheet (2 pp) w/Assignment (3 pp)
Information Disclosure Statement (2 pp); PTO/SB/08A (2 pp) w/
20 documents
Affirmation of priority claim (1 pg) w/PCT/IB/304
Check No. 9978 in the amount of \$940.00
Return Receipt Postcard

{W:\20241\0203481us0\00548793.DOC [REDACTED]}

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.